

Annexure C

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites

Data for every month ending – 29/03/2024

| S N | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 3 | Depositories | 0 | 1 | 0 | 1 | 0 | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | Grand Total | 0 | 1 | 0 | 1 | 0 | | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1 | April -2023 | 0 | 1 | 1 | 0 |
| 2 | May – 2023 | 0 | 0 | 0 | 0 |
| 3 | June- 2023 | 0 | 2 | 2 | 0 |
| 4 | July- 2023 | 0 | 1 | 1 | 0 |
| 5 | August- 2023 | 0 | 0 | 0 | 0 |
| 6 | September- 2023 | 0 | 0 | 0 | 0 |
| 7 | October- 2023 | 0 | 0 | 0 | 0 |
| 8 | November- 2023 | 0 | 1 | 1 | 0 |
| 9 | December- 2023 | 0 | 0 | 0 | 0 |
| 10 | January- 2024 | 0 | 0 | 0 | 0 |
| 11 | February- 2024 | 0 | 0 | 0 | 0 |
| 12 | March- 2024 | 0 | 1 | 1 | 0 |
| | Grand Total | 0 | 6 | 6 | 0 |

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-----------|--------------------|---|---------------------------------|---------------------------------|---------------------------------------|
| 1 | 2017-18 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 1 | 1 | 0 |
| 6 | 2022-23 | 0 | 5 | 5 | 0 |
| | Grand Total | 0 | 6 | 6 | 0 |